

# Fracture toughness modification by using a fibre laser surface treatment of a silicon nitride engineering ceramic

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**Abstract** Surface treatment of a silicon nitride ( $\text{Si}_3\text{N}_4$ ) engineering ceramic with fibre laser radiation was conducted to identify changes in the fracture toughness as measured by  $K_{1c}$ . A Vickers macro-hardness indentation method was adopted to determine the  $K_{1c}$  of the  $\text{Si}_3\text{N}_4$  before and after fibre laser surface treatment. Optical and a scanning electron microscopy (SEM), a co-ordinate measuring machine and a focus variation technique were used to observe and measure the dimensions of the Vickers indentation, the resulting crack lengths, as well as the crack geometry within the as-received and fibre laser-treated  $\text{Si}_3\text{N}_4$ . Thereafter, computational and analytical methods were employed to determine the  $K_{1c}$  using various empirical equations. The equation  $K_{1c} = 0.016 (E/Hv)^{1/2} (P/c^{3/2})$  produced most accurate results in generating  $K_{1c}$  values within the range from 4 to 6 MPa m<sup>1/2</sup>. From this it was found that the indentation load, hardness, along with the resulting crack lengths in particular, were the most influential parameters within the  $K_{1c}$  equation used. An increase in the near surface hardness of 4% was found with the  $\text{Si}_3\text{N}_4$  in comparison with the as-received surface, which meant that the fibre laser-treated surface of the  $\text{Si}_3\text{N}_4$  became harder and more brittle, indicating that the surface was more prone to cracking after the fibre laser treatment. Yet, the resulting crack lengths from the Vickers indentation tests were reduced by 37% for the  $\text{Si}_3\text{N}_4$  which in turn led to increase in the  $K_{1c}$  by 47% in comparison with the as-received surface. It is postulated that the fibre laser treatment induced a compressive stress layer by gaining an

increase in the dislocation movement during elevated temperatures from the fibre laser surface processing. This inherently increased the compressive stress within the  $\text{Si}_3\text{N}_4$  and minimized the crack propagation during the Vickers indentation test, which led to the fibre laser-radiated surface of the  $\text{Si}_3\text{N}_4$  engineering ceramic to have more resistance to crack propagation.

## List of symbols

$K_c$	Plane stress fracture toughness
$K_{1c}$	Fracture Toughness
$\text{CO}_2$	Carbon dioxide
CW	Continuous wave
Hv	Hardness
E	Young's modulus
N	Newton's
c	Average flaw size
P	Load (kg)
Pc	Load impact
Ic	Interior cracks
m min <sup>-1</sup>	Metre per minute
HIP	Hot isostatic pressed
CIP	Cold isostatic pressed
$\text{O}_2$	Oxygen
$\text{Si}_3\text{N}_4$	Silicon nitride
$\text{ZrO}_2$	Zirconia oxide
$\text{Al}_2\text{O}_3$	Alumina
Sic	Silicon carbide
MgO	Magnesia oxide
PSZ	Partially stabilized zirconia
$\text{SiO}_2$	Silicon dioxide
kg	Kilo gram
MPa	Mega pascal
GPa	Giga pascal
Mm	Micro metre

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M	Meters
m <sup>2</sup>	Meter cubed
mm	Millimetres
L	Litres
CMM	Co-ordinate measuring machine
δ	Delta
β	Beta
°C	Degrees centigrade
NC	Numerical control
θ	Theta
D	Average diagonal size
YSiAlON	Sailon
Nd:YAG	Neodinium Yttrium Aluminium Garnet

## Introduction

Crack sensitivity and low fracture toughness can limit the use of Si<sub>3</sub>N<sub>4</sub> engineering ceramics, particularly for demanding applications. Nevertheless, the applications of Si<sub>3</sub>N<sub>4</sub> have gradually increased on account of the desirable physical properties and longer functional life, which often gives the Si<sub>3</sub>N<sub>4</sub> engineering ceramics a commercial advantage over the conventional materials in use. Conventional metals and alloys especially can be replaced by engineering ceramics such as Si<sub>3</sub>N<sub>4</sub> due to its exceptional mechanical and thermal properties offered. Now, Si<sub>3</sub>N<sub>4</sub> ceramics in particular are predominantly being used to manufacture components in the aerospace and automotive industrial sectors [1–5]. Components such as pistons, exhaust manifold, bushings, seals and turbo chargers are being used for high-speed diesel engines within the automotive sector [1]. Applications of Si<sub>3</sub>N<sub>4</sub> in the aerospace industry are, namely: valves; bearings; turbine blades; rocket nozzles and rotors [3–5]. For such applications, fracture toughness is an essential property since low fracture toughness in comparison to metals and alloys is one of the disadvantages of the ceramic. Inherently, an increase in the fracture toughness would, therefore, lead to an enhancement in the components functional life, better performance which in turn leads to reduction in the maintenance time and cost of the component/part and or the system.

Fracture toughness is considered to be an important property for the Si<sub>3</sub>N<sub>4</sub> and other ceramics in general due to their high hardness and brittleness. Materials such as metals and alloys are soft and ductile which in turn would resist cracks at higher stress levels and loading [6–11], whereas hard and brittle materials such as Si<sub>3</sub>N<sub>4</sub> ceramic possess a low fracture toughness and allow crack propagation to occur at lower stresses and loading. This is due to

its low ductility, high hardness, caused by the closely packed grains along with a porous structure which increases the crack sensitivity. This characteristically prevents Si<sub>3</sub>N<sub>4</sub> from increasing the movement of dislocations in comparison to that of metals [10–12]. Dislocations are hard to generate within the ceramics due to its strong and highly directional covalent bonds which make it difficult to move the atoms from its lattice positions. Mechanical yielding of the Si<sub>3</sub>N<sub>4</sub> is also limited due to the porosity and the surface flaws make it crack-sensitive and eventually lead to a much lower resistance to fracture.

K<sub>1c</sub> is a parameter of fracture toughness and is low for the Si<sub>3</sub>N<sub>4</sub> ceramic in comparison with metals and alloys; so it would be an advantage if the K<sub>1c</sub> of the ceramic is enhanced. Through improvement of K<sub>1c</sub>, it is possible to make way for new applications where metals and metal alloys fail due to their low hardness, thermal resistance, co-efficient of friction and wear rate.

This research investigated the use of empirical equations from the literature to calculate the fracture toughness property (K<sub>1c</sub>) of a Si<sub>3</sub>N<sub>4</sub> engineering ceramic and observed the effects thereon of fibre laser radiation to effect surface treatment. A change in the K<sub>1c</sub> has an influence on the materials functionality or diversity to its applications, by improving the K<sub>1c</sub> of materials can enhance its functional capabilities such as longer functional life, improved performance under higher cyclic and mechanical loading particularly for demanding applications as previously mentioned. This study also demonstrates a technique to calculate the K<sub>1c</sub> by employing Vickers indentation test for laser-treated CIP Si<sub>3</sub>N<sub>4</sub> ceramics. All tested samples were investigated for their top surface hardness, generated crack profiles from the diamond indentations and micro-structural changes before and after the fibre laser treatment.

Shukla and Lawrence [13] recently investigated the effects on the K<sub>1c</sub> using a fibre laser to surface treat a ZrO<sub>2</sub> engineering ceramic which showed changes in the K<sub>1c</sub> of the ZrO<sub>2</sub> ceramic; however, the effects of the fibre laser interaction with ZrO<sub>2</sub> are different to that of the Si<sub>3</sub>N<sub>4</sub> in comparison. This is why the effects of the fibre laser treatment on the K<sub>1c</sub> of the Si<sub>3</sub>N<sub>4</sub> ceramic were explored in this study. Despite the use of industrial lasers such as CO<sub>2</sub>, Nd:YAG, HPDL and an excimer to process various technical ceramics (see Table 1 for further details), no other investigation except the one of Shukla and Lawrence [13] has been done hitherto by employing a fibre laser to process engineering ceramics. Moreover, the fibre laser was selected because of its shorter wavelength radiation in comparison to the conventional lasers previously used for ceramic processing [14–26]. It would be interesting to investigate further the effect of short wavelength on the surface properties of a Si<sub>3</sub>N<sub>4</sub> engineering ceramic. Furthermore, despite the Nd:YAG laser wavelength being

**Table 1** The comparison and suitability of using various industrial lasers to surface process engineering ceramics [22, 27, 28]

Process parameters	Type of lasers, conditions and suitability to process ceramics in general				
	CO <sub>2</sub> (CW) 10.06 (μm)	Nd:YAG (CW) 1.064 (μm)	Fibre (CW) 1.075 (μm)	HPDL (CW) 0.810 (μm)	Excimer (pulsed) 0.248 (μm)
Range of power density (W/m <sup>2</sup> )	550–4860 @ 100 mm/min	583–3357 @ 10 mm/s	694–4861 @ 100 mm/min	500–6773 @ 60–600 mm/min	100–1200 mJ @ Variable, 1–200 Hz repetition rate and 15–20 ns pulse width
Absorption (μm)	470 ± 19	195 ± 12	250 ± 10	177 ± 15	7.5 ± 19
Beam mode	TEM <sub>00</sub>	TEM <sub>01</sub> *	TEM <sub>00</sub>	Multimode	Multimode
Wavelength suitability	Suitable for surface modification, produces large HAZ and high material removal compared to other lasers but ideal for macro machining of ceramics	Suitable for surface modification, ideal for localized heat input due to pulsed processing (reduced thermal shock), produce lower material removal small HAZ, micro- and macro-machining is feasible	Suitable for surface modification, ideal for localized heat input and high depth of penetration. Produces small HAZ compared to CO <sub>2</sub> laser, micro- and macro-machining are both feasible due to small spot size and high beam quality	Ideal for surface modification, micro- and macro-machining. Sufficient material removal and penetration depth	Low surface modification, low processing temperatures generated, low material removal and depth of penetration, perfect for micro-machining of ceramics and to locally modify the surface at scale of nm
Thermal loading (KJ/cm <sup>-3</sup> )	2.57	6.73	5.50	9.83	22.24
Fluence threshold (J/cm <sup>-2</sup> )	68	128	132	181	0.12

in the same region as that of the fibre laser, the Nd:YAG laser does not function stably in the continuous wave (CW) mode. This is required for minimizing the thermal shock induced into a ceramic. Fibre lasers also produce high brightness in comparison to the more conventional CO<sub>2</sub> and Nd:YAG lasers, which generally inhibits deeper penetration, capability of producing finer spot sizes, longer depth of focus, as well as low cost per wattage being exhibited due to its high brightness. As one can see, this investigation is timely as limited research has been conducted by employing fibre lasers to conduct the surface treatment of materials, especially of engineering ceramics.

A previous investigation by Malshe et al. [14] involved the processing of a Si<sub>3</sub>N<sub>4</sub> ceramic by employing a CO<sub>2</sub> laser to eliminate imperfections within the Si<sub>3</sub>N<sub>4</sub> followed by a three-point bending strength study of the ceramic after the CO<sub>2</sub> laser surface treatment. The investigation revealed that that imperfection such as surface flaws and porosity was minimized through surface melting of the Si<sub>3</sub>N<sub>4</sub> which in turn also enhanced the bending and flexural strength of the Si<sub>3</sub>N<sub>4</sub>. Sun Li et al. [15] then took this investigation forward to show that a YSiAlON phase in the Si<sub>3</sub>N<sub>4</sub> was softened through melting and in-filled the pre-existing fractures to increase the flexural and bending strength. A similar investigation was also conducted by Segall et al.

[16] by using a high-powered CO<sub>2</sub> laser to fill a fractured surface of a thin film Al<sub>2</sub>O<sub>3</sub> ceramic sheet. Nd:YAG laser machining of structural ceramics was demonstrated by Anoop et al. [17] and discussed the physical phenomenon of material removal of Al<sub>2</sub>O<sub>3</sub>, SiC, MgO and Si<sub>3</sub>N<sub>4</sub> ceramics. The use of Nd:YAG laser was also adopted by Hoe and Lawrence [18] on MgO–PSZ ceramics to improve the wettability characteristics for biomedical applications. The results showed melting and solidification of the ceramic led to a changed micro-structure and improved wettability through an increase in the surface roughness. Other study by Hoe and Lawrence [19] within biomedical sector also involved improvement of cell adhesion through a CO<sub>2</sub> laser surface treatment. Hoe and Lawrence [20] in another investigation used a finite element model (FEM) and compared it to an experimental model to simulate the CO<sub>2</sub> laser surface treatment of the MgO–PSZ. Their finding revealed accuracy between the two models studied as well as the occurrence of phase changes within the ceramic during the laser beam interaction.

Several other studies discussed the use of industrial lasers to modify the surface of engineering, structural and refractory type ceramics [21–26]. Triantafyllidis et al. [21] investigated a possibility of achieving a crack-free surface of refractory Al<sub>2</sub>O<sub>3</sub> ceramics using double laser sources: a

CO<sub>2</sub> and a high powered diode laser (HPDL) by balancing out the thermal gradient and increasing the solidification rate. The results presented crack- and pore-free surfaces along with deep penetration in comparison with the single laser processing. Investigation by Lawrence and Li [22] initially employed a CO<sub>2</sub> laser, a Nd:YAG laser, a HPDL and an excimer laser with a SiO<sub>2</sub>/Al<sub>2</sub>O<sub>3</sub> ceramic to investigate the effect of the laser beam absorption on the ceramic and showed that the CO<sub>2</sub> laser had the highest absorption followed by the Nd:YAG and then the HPDL. Lawrence and Li [23] then employed a high powered diode laser (HPDL) to surface treat Al<sub>2</sub>O<sub>3</sub> based refractory ceramic and achieved increased wear life as a dense structure comprising of minor cracks and porosities was found. Another investigation by Lawrence and Li [24] also used the HPDL to process an ordinary Portland concrete (OPC) and showed enhancement in the resistance to chemical attack, water absorption as well as improved wear life of the OPC. Wang et al. [25, 26] published two separate articles on the micro-structural and surface density modification of a mixture of Al<sub>2</sub>O<sub>3</sub> + ZrO<sub>2</sub> + SiO<sub>2</sub> refractory ceramics system from employing the CO<sub>2</sub> laser. The results showed that the laser treatment had refined the micro-structure into fine grain dendrites as well as a change in its phase transformation.

### Methods for calculating the ceramics K<sub>1c</sub>

#### Vickers indentation technique

Vickers indentation along with single edge notched beam (SENB), chevron notched beam (CNB) and double cantilever beam (DCB) techniques can be employed to determine the fracture toughness of ceramics. The use of Vickers indentation to determine the fracture toughness parameter (K<sub>1c</sub>) of ceramics and glasses from empirical relationships has been demonstrated previously [29–34]. The Vickers indentation test has several advantages such as the cost effectiveness and easy setup as well as being simple and less time-consuming in comparison to other indentation techniques.

Measured hardness and the crack lengths from the Vickers indentation test are placed into an empirical equation to calculate the materials K<sub>1c</sub> [7, 8, 13, 28]. The results from the Vickers indentation test can then be applicable to the empirical equations which were derived by Ponton [7, 8], Chicot [31] and Liang et al. [32]. The equations derived by Ponton et al. [7, 8] originated from various other authors [33–43]. The equations were, however, modified and applied specifically to hard and brittle materials such as ceramics and glass by Ponton et al. [7, 8]. The equations have a specific empirical value which is

**Table 2** Fracture toughness values of Si<sub>3</sub>N<sub>4</sub> obtained by using the various indentation fracture methods

Indentation fracture methods	Vickers	SENB	CNB	DCB
K <sub>1c</sub> (MPa m <sup>1/2</sup> )	6.37 [6]	9 [48]	7.9 [48]	4.0 [49]

particularly suitable for different ceramics, however, Ponton et al.'s study does not make it clear with regards to which empirical value and equation is more applicable for different ceramics. Preparations of the samples involve polishing in order to create a reflective surface plane (this would mean that the surface has been well polished) [6–8] prior to the Vickers indentation process. There are still constraints with the Vickers indentation techniques as reported by Gong et al. [44], over the more conventional technique applied such as single edge notched beam (SENB) and double-torsion (DT) method as mentioned elsewhere [29, 45–47]. The constraints are: (a) the dependence of the crack geometry on the applied indentation load and the properties of the material; (b) indentation deformation (non-uniform fracture progression or rapid fracture growth) such as lateral cracking; and (c) unsuitable consideration of the effect of Young's modulus and the material hardness [29]. Table 2 presents the literature K<sub>1c</sub> values for comparison from using the SENB, CNB and DCB technique to determine the fracture toughness of a Si<sub>3</sub>N<sub>4</sub> ceramic [6, 48, 49].

The procedure and steps in order to produce a genuine Vickers indentation test result and produce genuinely valid K<sub>1c</sub> values are: (a) each indentation must be performed at a sufficient distance from one another. This would avoid the formed cracks to inter-connect and bridge with the other diamond indentations performed on the ceramic surface [50, 51]; (b) a minimum load of 50 N is recommended; (c) it is ideal to coat the test surface with gold so performed indentations are visible and (d) the test samples should be around 1.5 times larger than the diagonal length of the diamond indentation and comprise of minimum porosity. The author also stated that the adjacent indents should be no closer than four times the size of the diamond indentation.

#### Generation of the cracking profiles during the indentation test

Liang et al. [32] investigated the K<sub>1c</sub> of ceramics using the indentation method, followed by applying several equations by various authors as listed in [32]. Liang et al. stated that equations differ as the crack geometry changes (from Palmqvist to median half-penny cracks). He introduced a new equation which was said to be more universal as opposed to the previous study conducted. Ponton et al.'s

formula in comparison was much simplified and was easy to apply. Chicot et al. [31] conducted further investigation by applying two other equations to produce results using materials such as tungsten carbide (Nickel phosphorus treated) and pure silicon. He uses the concept of median half-penny crack and a Palmqvist crack system to determine the most applicable equation [31]. It was stated that high indenter loads produce a median half-penny crack within the material which is on the edges of the diamond indentation (foot-print produced). This type of crack will always remain connected. A Palmqvist crack is produced during low indenter loading and is of a smaller scale in comparison. The Palmqvist crack will always appear at initial stage of the crack generation during the indentation process, then, a median half-penny crack is produced once the impact of the indenter is exerted. It can be assumed that a median half-penny crack may be the result since the ceramics comprise high hardness, indicating that high indenter loads are required in order to induced visible and measurable diamond foot-prints.

Orange et al. [45] investigated the  $K_{Ic}$  of  $Al_2O_3-ZrO_2$  by comparing the notched beam and the Vickers indentation techniques. Cracking behaviour was observed as Palmqvist and median half-penny crack geometries were found. Low indentation loading produced Palmqvist cracks and with increasing loading median half-penny cracks were found. High micro-cracking was also found with Vickers indentation technique when a fine grain size (0–3  $\mu m$ ) ceramics were tested and with increasing grain size (0–5  $\mu m$ ), the micro-cracking was reduced with increase in the  $K_{Ic}$ . With the notched beams technique a higher  $K_{Ic}$  value was also achieved with a larger grain size [45]. From the study of Orange et al. [45] it can be gathered that notched beam indentation technique produced better results in comparison with the Vickers indentation method, although, the reasons behind this were not well justified.

Median half-penny-shaped cracks occur when high indentation loads are applied [31, 52, 53]. The profile of a median half-penny-shaped crack is illustrated in Fig. 1a. It can be predicted that the outcome for most of the crack profiles in this study would be of median half-penny shape.

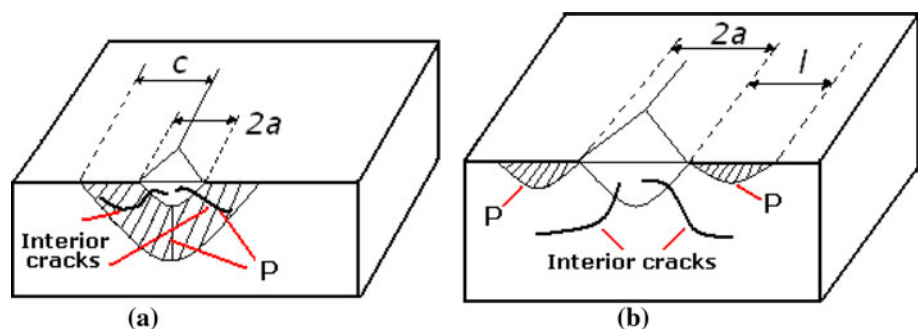
For cracks that are of median half-penny shape the applicable equations differ (see Eqs. 1–15) [7, 8, 13]. The indentation load at which the median half-penny crack occurs for most ceramics is 3 N [31], this was lower for the loads applied for this investigation. Therefore, it would be reasonable to assume that the generated cracks would always be of a half-penny median type crack profile. This indicated that only equation particularly applicable for median half-penny cracks should be utilized for this study in order to determine the  $K_{Ic}$ . Figure 1b illustrates a profile of a Palmqvist crack which tends to occur at low indentation loads [31, 53].

A Palmqvist crack is part of the median half-penny crack system because when a load above 3 N is applied the indenter “pop in” occurs, whilst the already produced Palmqvist crack further develops into a median half-penny crack [30, 53]. These cracks are shallow and lie in the axis of the indenter as there would be a small extension at the edge of the diamond indenter [53]. Up to 50 N of indentation loads were used for this study, and so it is likely that a Palmqvist crack will occur leading to a half-penny median crack geometry.

#### Determination of $K_{Ic}$ using empirical equations

Equations for median half-penny-shaped cracks are usually used for high indenter load applications [36, 37, 40]. One equation is selected to calculate the  $K_{Ic}$  value for the treated and as-received samples from applying the equations to real experimental values. The equations were derived by the ceramics geometrical values that were obtained from experimental means, of ceramics and glass [7, 8]. Equations 1–15 were mentioned in the literature to be applicable for ceramics and glass type materials; however, no such equation was defined as applicable for a certain ceramic type; hence the suitability of applying the various equations to  $Si_3N_4$  ceramic was not particularly defined. This is why it was required that an investigation was carried out in order to determine the most employable equation for this study. There were 10 equations selected in this study from various equations discussed in [7, 8, 29],

**Fig. 1** Median Half-penny crack (a) and Palmqvist crack (b). Note: L is the surface crack length,  $2a$  is the length of the diamond indent,  $c$  is the centre of the diamond to the end of the crack tip and P is the load impact



to first determine the  $K_{1c}$  of the as-received surfaces of  $Si_3N_4$  and then the laser-treated surfaces. The selected equations applicable to calculate the  $K_{1c}$ , by using the Vickers indentation methods are [7]:

<b><math>K_{1c} = 0.0101 P/(ac)^{1/2}</math></b>	[50] (1)
<b><math>K_{1c} = 0.0824 P/c^{3/2}</math></b>	[54] (2)
<b><math>K_{1c} = 0.0515 P/c^{3/2}</math></b>	[36] (3)
<b><math>K_{1c} = 0.0134 (E/Hv)^{1/2} (P/c^{3/2})</math></b>	[37] (4)
<b><math>K_{1c} = 0.0330 (E/Hv)^{2/5} (P/c^{3/2})</math></b>	[40] (5)
<b><math>K_{1c} = 0.0363 (E/Hv)^{2/5} (P/a^{1.5}) (a/c)^{1.56}</math></b>	[55] (6)
<b><math>K_{1c} = 0.095 (E/Hv)^{2/3} (P/c^{3/2})</math></b>	[56] (7)
<b><math>K_{1c} = 0.022 (E/Hv)^{2/3} (P/c^{3/2})</math></b>	[56] (8)
<b><math>K_{1c} = 0.035 (E/Hv)^{1/4} (P/c^{3/2})</math></b>	[57] (9)
<b><math>K_{1c} = 0.016 (E/Hv)^{1/2} (P/c^{3/2})</math></b>	[49] (10)
$K_{1c} = 0.079 (P/a^{3/2}) \log (4.5 a/c)$ for $0.5 \leq c/a < 4.5$	[58] (11)
$K_{1c} = 0.4636 (P/a^{3/2}) (E/Hv)^{2/5} (10^F)$	[58] (12)
$K_{1c} = 0.0141 (P/a^{3/2}) (E/Hv)/2.5 \log (8.4^a/c)$	[56] (13)
$K_{1c} = 0.0232 [f (E/Hv)] P/(ac)^{1/2} \dagger$ for $c/a \leq \approx 2.8$	[56] (14)
$K_{1c} = 0.417 [f (E/Hv)] P/(a^{0.42} c^{1.08}) \dagger$ for $c/a \geq \approx 2.8$	[57] (15)

Note: Equations highlighted in bold were deemed to be appropriate for this investigation

Ponton et al. [8] state that equation stated by Kelly et al. [43] suggested that Eq. 10 has an accuracy of 30–40% for ceramics which are well behaved in their indentation response. However, it is first required that the propagation of the crack geometry is understood from performing the Vickers indentation test on the as-received  $Si_3N_4$  ceramics as further justified in this article. It is not made clear as to why this equation was particularly used for the ceramic. It was, therefore, required that some of the relevant equations were applied to the tested values from this experiment to determine what sort of results are obtained. Hardness test was performed on the  $Si_3N_4$  assuming that the resulting cracks were of half-penny median type (due to applying a sufficient indentation load). Ten equations were employed as previously stated to establish which particular equation type produces the  $K_{1c}$  value that is the nearest to the known value for the as-received  $Si_3N_4$  ceramics which is normally between 4 and 6 MPa  $m^{1/2}$ .

### Experimental procedure

#### Experimental material

The material used for the experimentation was cold isostatic pressed (CIP)  $Si_3N_4$  with 90%  $Si_3N_4$ , 4% Yttria, 4%  $Al_2O_3$  and 2% other, unspecified content by the manufacturer (Tensky International Company, Ltd.). Each test-piece was

obtained in a bulk of  $10 \times 10 \times 50 \text{ mm}^3$  with a surface roughness of  $1.56 \mu\text{m}$  (as-received from the manufacturer). A smoother surface than  $1.56 \mu\text{m}$  would have much lower surface flaws and micro-cracks and would perform better under the diamond indentation as the resulting crack growth from the Vickers indentation would be smaller but initial experiments showed that polished shinier surfaces (below  $1.56 \mu\text{m}$ ) would reflect the beam and would, therefore, reduced beam absorption into the  $Si_3N_4$  ceramic, hence, the surfaces were not ground and polished. The experiments were conducted in ambient condition at a known atmospheric temperature ( $20^\circ\text{C}$ ). All surfaces of the  $Si_3N_4$  to be treated were marked with black ink prior to the laser treatment to enhance the absorption and allow the laser beam to further penetrate into the surface. The back ink is generally removed by the fibre laser surface treatment and was not found to have any further effect on the ceramic after the laser surface interaction has taken place.

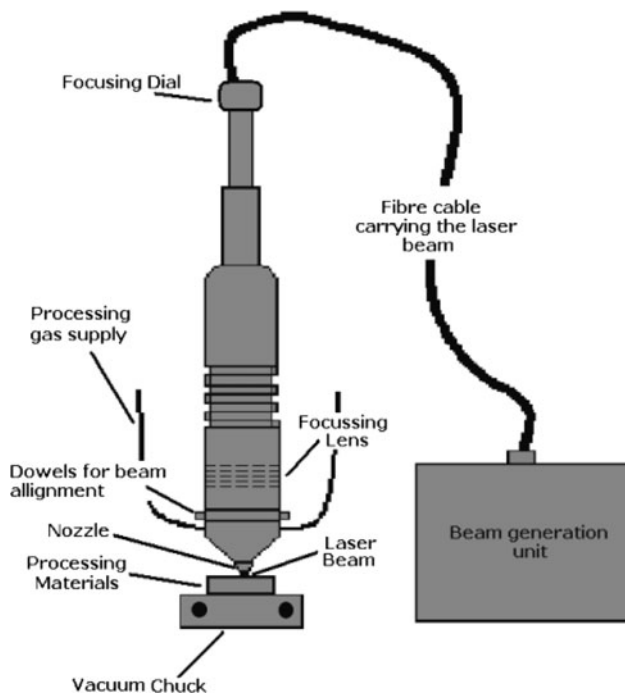
#### Fibre laser treatment

A 200 W fibre laser (SP-200c-002; SPI, Ltd.) emitting a continuous wave (CW) mode beam at a wavelength of  $1.075 \mu\text{m}$ . Focal position was kept to 20 mm above the work-piece to obtain a 3 mm spot size. The processing gas used was compressed air as well as ambient air supplied at a flow rate of  $25 \text{ L min}^{-1}$ . Programming of the laser was conducted using an SPI software which integrated with the laser system. A 50 mm line was programmed using numerical control (NC) programming as a potential beam path which was transferred by.dxf file. The nozzle indicated in Fig. 2 was removed for all experiments. To obtain an operating window, trials were conducted at the fixed spot size of 3 mm and by varying the power between 75 and 200 W and varying the traverse speed between 25 and  $500 \text{ mm min}^{-1}$ . From these trials it was found that  $137.5$  to  $143.25 \text{ W}$  at  $100 \text{ mm min}^{-1}$  were the ideal laser parameter to use in terms of achieving a crack-free surface as presented in Table 3.

#### Vickers indentation test method

An indenter of a specific shape made from a diamond was used to indent the surface of the  $Si_3N_4$  under investigation [6–14]. The diamond was initially pressed onto the as-received surface, and the load was then released. A diamond indentation was created thus on the surface, which was then measured in size. Thereafter, the surface area of the indentation was placed into Eq. 16 to calculate the hardness value:

$$HV = 2P \sin [\theta/2] / D^2 = 1.8544P / D^2 \tag{16}$$



**Fig. 2** A schematics diagram of the experimental setup of the fibre laser treatment

**Table 3** Parameters used for the fibre laser treatment of the  $\text{Si}_3\text{N}_4$  ceramics at the traverse speed of  $100 \text{ mm min}^{-1}$

Trial no	Power (W)	Power density ( $\text{W/mm}^2$ )	Comments
1	75	2083.33	No visual effect
2	100	2777.77	Small change in colour
3	125	3472.22	Small cracks apparent
4	130	3611.11	Small cracks on the edges
5	150	4166.66	Large cracks apparent
6	137.5	3819.44	Crack-free
7	143.25	3979.17	Crack-free
8	150	4166.66	Large cracks apparent

where  $P$  is the load applied (kg),  $D$  is the average diagonal size of the indentation in mm, and  $\theta$  is the angle between the opposite faces of the diamond indenter being  $136^\circ$  with less than  $\pm 1^\circ$  of tolerance. Indentation load of 5, 20 and 30 kg were applied. The indented surface and the resulting crack lengths were measured using the inbuilt optical microscope of the Vickers indenter (Armstrong Engineers Ltd). This method was then implemented for the fibre laser-treated surfaces of the  $\text{Si}_3\text{N}_4$  ceramic. The test samples were placed under the macro-indenter and were initially viewed using the built-in microscope to adjust the distance between the surface of the work-piece and the diamond indenter. This maintained a sufficient distance during each indentation and allowed a standardized testing method which complies to ISO 6507-1 [51].

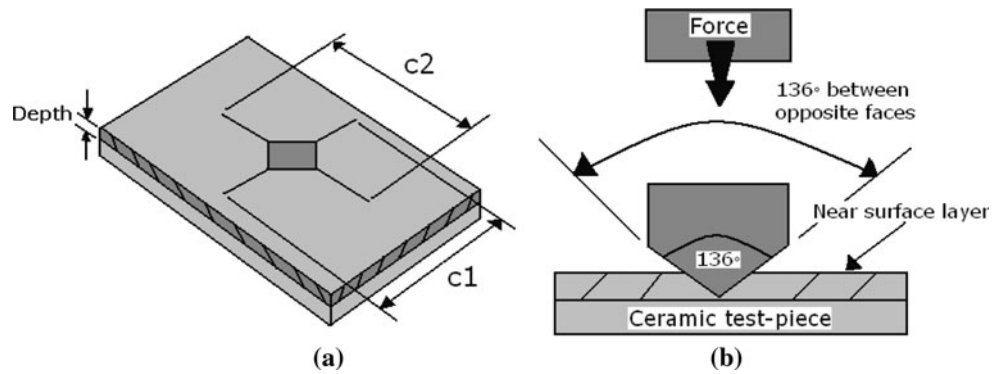
### Measuring the crack lengths

The crack lengths generated by employing the Vickers diamond indentation test as presented in Fig. 3a and b were measured using a contact-less, Co-ordinate Measuring Machine (CMM) Flash 200. The ceramic samples were placed under a traversing lens of the optical microscope. The lens traverses in the Y-direction and to adjust the magnification it is also able to move in the Z-direction. Motion in the Y-direction is provided by the bed on which the test-piece is mounted for analysing the surface. The accuracy of the motion system in the X- and Y-direction was  $\pm 4.38 \mu\text{m}$  and  $5.25 \pm \mu\text{m}$  in the Z-direction. The image appears on the screen as the optical lens traverses above the surface of the test-piece. The scale resolution of the lens was  $0.5 \mu\text{m}$ . The diamond indentations and the resulting crack lengths were measured by moving the lever in the X- and Y-directions and selecting a starting point on the screen where the crack ends (crack tip) and stopping on the edge of the diamond indentation foot-print (where the crack starts), then measuring the length or the width of the diamond foot-print, followed by measuring the crack length on the other side of the diamond indentation from the edge of the diamond indentation (where the crack starts) to the crack tip (where the crack ends). This measurement was carried out in both the X and Y-directions.

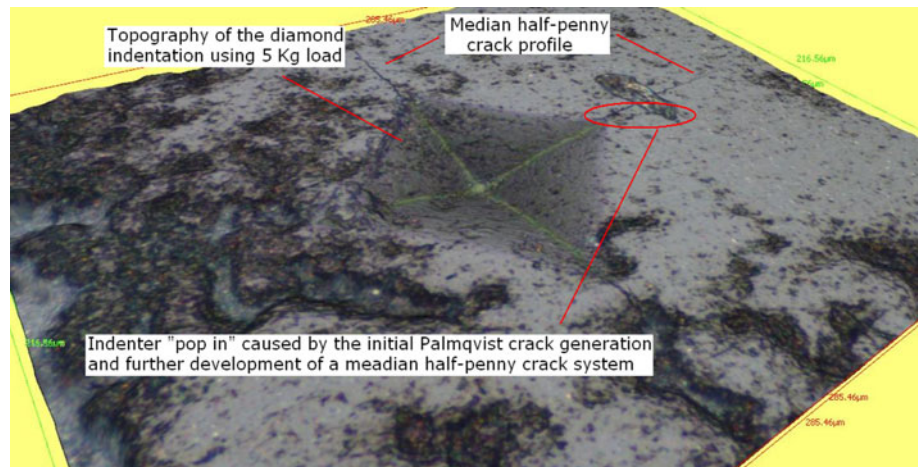
### Determination of the $K_{1c}$

The initial investigation used 15 equations to determine which equation type was best suited for calculating the  $K_{1c}$  [7, 8]. The as-received surfaces of the  $\text{Si}_3\text{N}_4$  were first tested for its hardness. Fifty indentations were made on one surface plane of various test samples of the  $\text{Si}_3\text{N}_4$  ceramic. Calibrated hardness was then recorded and a mean average was measured of the as-received surfaces. Each indentation and its crack lengths were then viewed at microscopic level by the aid of the optical microscope (Optishot; Nikon Ltd.) to observe the surface morphology. The crack lengths were measured using co-ordinate measuring machine (CMM) (Smartscope Flash 200; OGP Ltd.) CMM and crack geometry was observed by a 3 dimensional (3-D) surface topography using a focus variation technique (Infinite focus; IFM 2.15, Alicona Ltd.). The crack lengths, produced by the indentations were then placed into the various  $K_{1c}$  equations with its measured average hardness. Cracking geometries were then observed in order to confirm that the cracks generated by the diamond indentation at 5 kg were of median half-penny crack profile. This ensured that Eqs. 1–10 used for the median half-penny crack profile were correct. Equations 11–15 are used for the Palmqvist type crack profile and were not used. Figures 4 and 5 presents an example of a typical surface profile produced

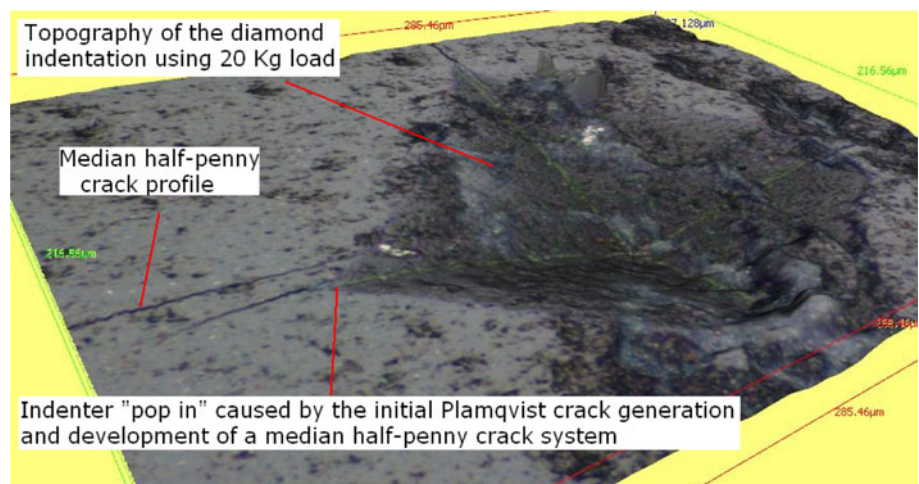
**Fig. 3** Schematic of a Vickers diamond indentation with propagation of the cracks **a** and **b** the concept of diamond indentation employed



**Fig. 4** Topography of the Vickers diamond indentation on the as-received surface of the Si<sub>3</sub>N<sub>4</sub> ceramics indented at 5 kg, illustrating a median half-penny crack geometry



**Fig. 5** Topography of the Vickers diamond indentation on the as-received surface of the Si<sub>3</sub>N<sub>4</sub> ceramics indented at 20 kg, illustrating a median half-penny crack geometry



from the Vickers diamond indentation using 5-kg (see Fig. 4) and 20-kg (see Fig. 5) loads. Both showed evidence of median half-penny type crack profiles where an indenter “pop in” indicated in Figs. 4 and 5 were exerted and then linear cracks were produced. A Palmqvist crack profile tends to occur with lower indentation loads and had occurred (as indicated from the indenter “pop in”) already in this crack geometry. The concept was more present with higher indentation loading as presented in Fig. 5.

Equations 1–10 for half-penny median crack system are presented in Table 4 and were initially used to calculate the  $K_{Ic}$  of the as-received Si<sub>3</sub>N<sub>4</sub> engineering ceramic to investigate the most appropriate equation that can be applied to the experimental values for both, the as-received and the fibre laser-treated surfaces of the Si<sub>3</sub>N<sub>4</sub> engineering ceramic. The results have been tabulated and are presented in Table 5. The equations were set up using Microsoft Excel which made it easy to be able to input



**Table 4** Presents the 10 equations used to calculate the  $K_{1c}$  for the as-received surface of the  $\text{Si}_3\text{N}_4$  engineering ceramic

Equation number	Equations	Equation origin
1	$K_{1c} = 0.0101 P/(ac^{1/2})$	Lawn and Swain [50]
2	$K_{1c} = 0.0824 P/c^{3/2}$	Lawn and Fuller [54]
3	$K_{1c} = 0.0515 P/c^{3/2}$	Evans and Charles [36]
4	$K_{1c} = 0.0134 (E/Hv)^{1/2} (P/c^{3/2})$	Lawn et al. [37]
5	$K_{1c} = 0.0330 (E/Hv)^{2/5} (P/c^{3/2})$	Niihara et al. [40]
6	$K_{1c} = 0.0363 (E/Hv)^{2/5} (P/a^{1.5}) / (a/c)^{1.56}$	Lankford [55]
7	$K_{1c} = 0.095 (E/Hv)^{2/3} (P/c^{3/2})$	Laugier [56]
8	$K_{1c} = 0.022 (E/Hv)^{2/3} (P/c^{3/2})$	Laugier [56]
9	$K_{1c} = 0.035 (E/Hv)^{1/4} (P/c^{3/2})$	Tanaka [57]
10	$K_{1c} = 0.016 (E/Hv)^{1/2} (P/c^{3/2})$	Anstis et al. [49]

parameters from the full equation. These values were hardness, crack length, Vickers indentation load and the Young's modulus. The Young's modulus for the as-received surface and the fibre laser-radiated surfaces was kept to 310 GPa for the  $\text{Si}_3\text{N}_4$ . It can be seen that all the values which range between 4 and 6  $\text{MPa m}^{1/2}$  for  $\text{Si}_3\text{N}_4$ , would generally allow the equation to be useable for calculating the  $K_{1c}$  for the laser-treated and as-received surfaces of the  $\text{Si}_3\text{N}_4$ .

Where  $P$  is load (kg),  $N$  is load in Newton's (N),  $c$  is average flaw size ( $\mu\text{m}$ ),  $a$  is  $2c$ ,  $m$  is length (m),  $Hv$  is the Vickers material hardness value,  $E$  is the Young's modulus. (Young's modulus for the as-received  $\text{Si}_3\text{N}_4$  was 310 GPa  $\text{m}^{1/2}$ ). Range (required equation accuracy) is from 4 to

6  $\text{MPa m}^{1/2}$  for the  $\text{Si}_3\text{N}_4$  [1, 60]. For all tested samples, the indentation load was 5 and 30 kg. Average of the  $K_{1c}$  was obtained by using values from fifty different Vickers indentation tests on one particular surface plane per sample. This allowed more consistency in calculating the  $K_{1c}$  since the values can be used from a bigger pool of data.

The literature value for  $K_{1c}$  for the as-received  $\text{Si}_3\text{N}_4$  is between 4 and 6  $\text{MPa m}^{1/2}$  [1, 60] so the values that do not lie between the range given for the  $\text{Si}_3\text{N}_4$  ceramic were not considered as acceptable and, therefore, those equations were proved to be less accurate in obtaining the values close to or within the range. The  $K_{1c}$  values obtained by using Eq. 10 were reasonably close to the range for the  $\text{Si}_3\text{N}_4$  in comparison to the values obtained by the other equations so the equation was most appropriate for use. Based on this other equations were not taken into consideration since the  $K_{1c}$  values produced by those equations were far from being within or close to the range.

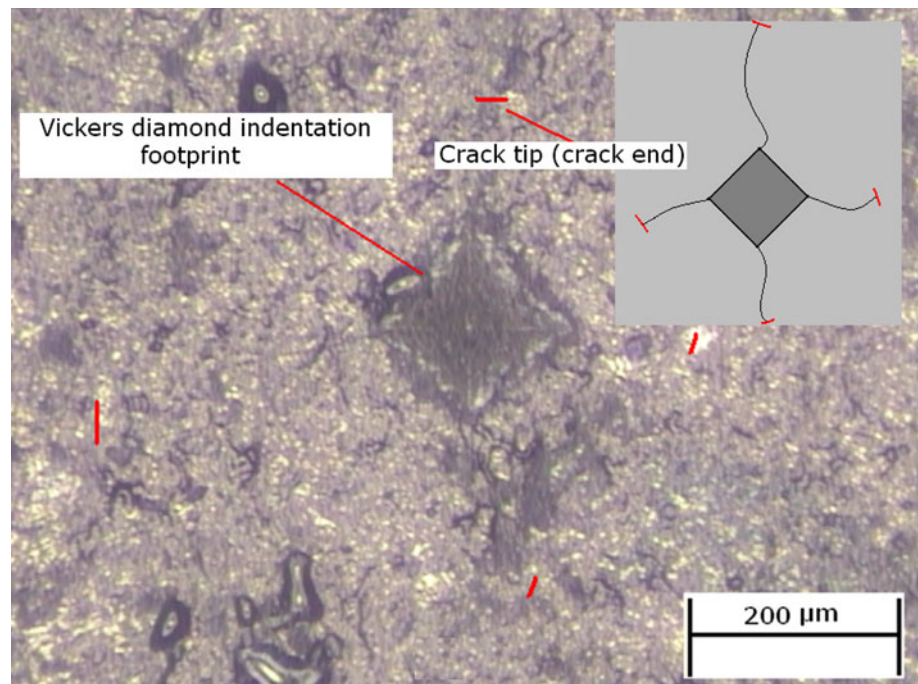
The Vickers diamond indenter was induced 50 times into the as-received surface plane of the  $\text{Si}_3\text{N}_4$  ceramic. Hardness values from the indentation test were recorded, and the resulting crack lengths were then measured to first calculate the  $K_{1c}$  of the untreated surface. This produced fifty  $K_{1c}$  values that were obtained from one surface plane. The experimental values obtained were an input into the set Excel spreadsheet such as the indentation load, crack lengths produced by the Vickers diamond indentations and the measured hardness. The equation that generated the closest value within the range (4–6  $\text{MPa m}^{1/2}$ ) after fifty indentation tests was Eq. 10. The results of the equation are presented in Table 5 along with their average, standard deviation and range. Despite the average  $K_{1c}$  values of the

**Table 5** The end  $K_{1c}$  values with its standard deviation and range for 5- and 30-kg loads from employing the ten equations for the as-received  $\text{Si}_3\text{N}_4$  engineering ceramic

Equation number	Average $K_{1c}$ value using 30-kg load ( $\text{MPa m}^{1/2}$ )	Standard deviation	Range ( $\text{MPa m}^{1/2}$ )	Average $K_{1c}$ value using 5-kg load ( $\text{MPa m}^{1/2}$ )	Standard deviation	Range ( $\text{MPa m}^{1/2}$ )
1	0.64	0.14	0.98–0.40	0.10	0.03	0.04–0.20
2	2.32	0.51	1.47–3.15	0.26	0.11	0.14–0.73
3	3.71	0.83	2.35–5.68	0.577	0.18	0.22–1.17
4	19.71	4.70	10.90–29.51	3.71	1.28	1.07–5.94
5	46.48	9.57	26.85–68.82	9.04	17.33	4.58–13.25
6	474.68	100.27	323.84–686.21	131.67	58.69	49.29–315
7	2162.22	594.10	1358.40–3488.21	558.51	214.00	206.26–919.94
8	468.94	137.58	240.13–828.97	131.49	70.26	54.52–379.27
9	746.04	218.88	382.03–1152.66	21.60	11.38	9.07–61.50
10	8.70	1.81	13.16–5.43	1.71	0.59	3.66–0.56

*Note:* Young's modulus is 310 GPa (as-received surface), average hardness at 30-kg load is 1489 Hv (14.60 GPa) with a range of 1575–1208 Hv (15.44–11.85 GPa) and standard deviation of 69.54 Hv (0.68 GPa), average  $a$  is 0.000356 m; standard deviation 0.0000553 m; and average  $c$  is 0.000178 m and standard deviation of 0.000273 m, average hardness at 5-kg load is 1106 Hv (11.32 GPa) with a range of 707–1524 Hv (6.93–14.95 GPa) and standard deviation of 201.69 (1.97 GPa), average  $a$  is 0.000856 m; standard deviation m; average  $c$  0.000193 m with a standard deviation 0.0000428. The density was 3.15  $\text{g/cm}^3$  [59] and the average grain size of 2  $\mu\text{m}$  for the as-received surface

**Fig. 6** As-received surface of the  $\text{Si}_3\text{N}_4$  ceramic indented with by a 30-kg load (hardness = 12.67 GPa, crack length = 371  $\mu\text{m}$ ,  $K_{1c}$  = 5.45  $\text{MPa m}^{1/2}$ )



as-received  $\text{Si}_3\text{N}_4$  ceramic being out of the range (see Table 5), the values obtained by using Eqs. 10 were the closest to the fracture toughness range for the  $\text{Si}_3\text{N}_4$  ceramic in comparison with the other equations; consequently, this equation was used for all as-received and laser-treated  $\text{Si}_3\text{N}_4$  ceramics to determine the  $K_{1c}$ .

## Results and discussion

### As-received surfaces

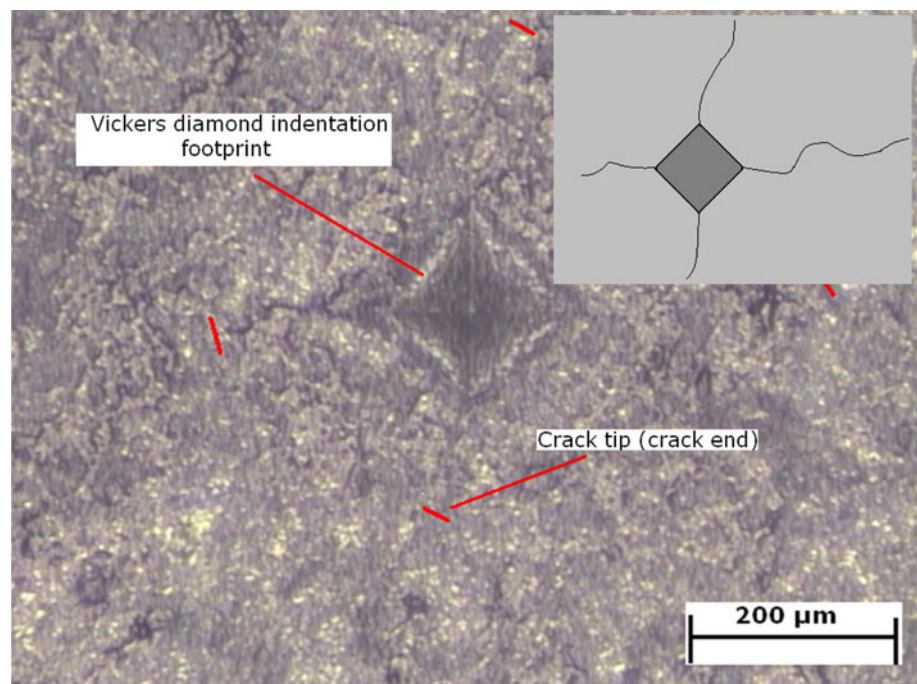
The hardness of the  $\text{Si}_3\text{N}_4$  from applying a 5-kg load was much lower than the hardness values obtained after applying a load of 30 kg. This was because of the 5-kg load applied to the material surface area resulted into lower penetration of the diamond indentation into the  $\text{Si}_3\text{N}_4$  as well as the surface area of the diamond foot-print also being smaller in dimension that resulted in generating a lower hardness value. The average hardness value for the  $\text{Si}_3\text{N}_4$  was 14.6 GPa with the highest value being 16.42 GPa above the mean and lowest being 13 GPa below the mean. The hardness values of the  $\text{Si}_3\text{N}_4$  using a 5-kg load comply with the hardness values provided by the manufacturer; however, they were found to be towards the bottom limit. A possible cause of this vast fluctuation in the values may have occurred due to the  $\text{Si}_3\text{N}_4$  comprising of micro-cracks, porosity as well as impurities on the near surface layer in comparison with the bulk hardness frequently produced non-uniform results.

The results showed minimal difference in the generated crack lengths for the  $\text{Si}_3\text{N}_4$  from applying a 5-kg load in

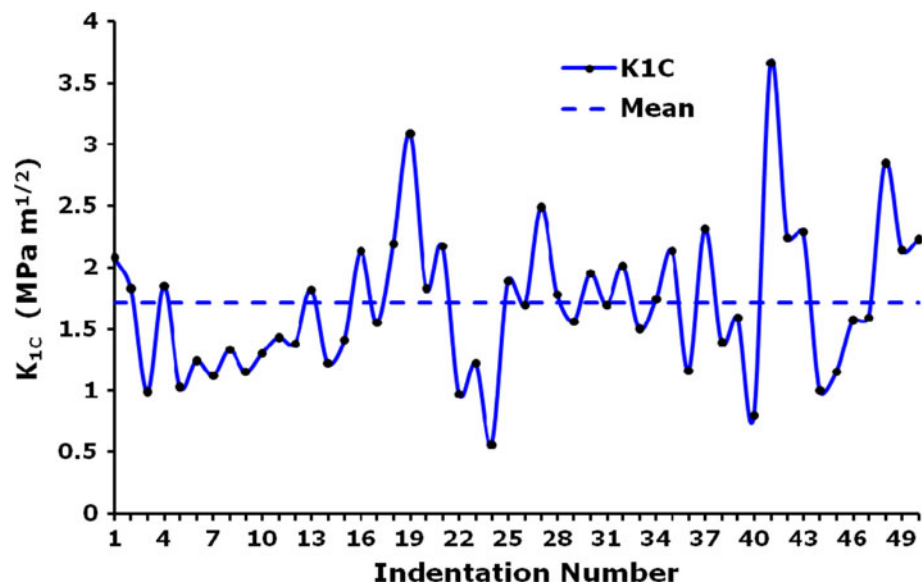
comparison with the results from applying 30-kg load. The average crack length was 371  $\mu\text{m}$  as shown in Fig. 6. Despite the indentation load and the applied force being much smaller in comparison with the 30-kg load, the material was still cracking in equivalent measure to the results of the trials conducted using a higher load. This clearly indicated that the surface did not exhibit a good response during the indentation test. This could mean that a smoother surface finish was required for the indentation test in order to overcome this problem so that the surface scarring and micro-cracks pre-existing on the  $\text{Si}_3\text{N}_4$  were minimized, and the strength of the top surface layer could be further enhanced for a better indentation response. This also has a possibility of increasing the surface hardness yet at the same time would reduce the resulting cracks from the Vickers diamond foot-prints and avoid crack bridging between the diamond foot-print and the pre-existing surface micro-cracks.

Ponton and Rawlings [7] suggested that a minimum loading of 50 N must be pressed in order to produce a diamond indent, the minimum loading used herein agrees to the study of Ponton and Rawlings [7]. Although, the loading herein was 49.05 N and we yet see a diamond indentation with a median half-penny shape profile as presented in Fig. 7. Initial experiments using lower indentation loads such as 24.5 N and 9.8 N also presented a sufficient indented foot-print from the Vickers hardness test. The diamond indentation in Fig. 7 is smaller in size when compared with the indentation created by the 30-kg load. However, the average crack lengths found from using a 5-kg indentation load to some extent were in the same region. The difference between the average values for the

**Fig. 7** As-received surface of the  $\text{Si}_3\text{N}_4$  ceramic indented by a 5-kg load (hardness = 8.83 GPa, crack length = 391  $\mu\text{m}$ ,  $K_{1c} = 1.66 \text{ MPa m}^{1/2}$ )



**Fig. 8**  $K_{1c}$  of the as-received surfaces of the  $\text{Si}_3\text{N}_4$  engineering ceramics after applying a load of 30 kg

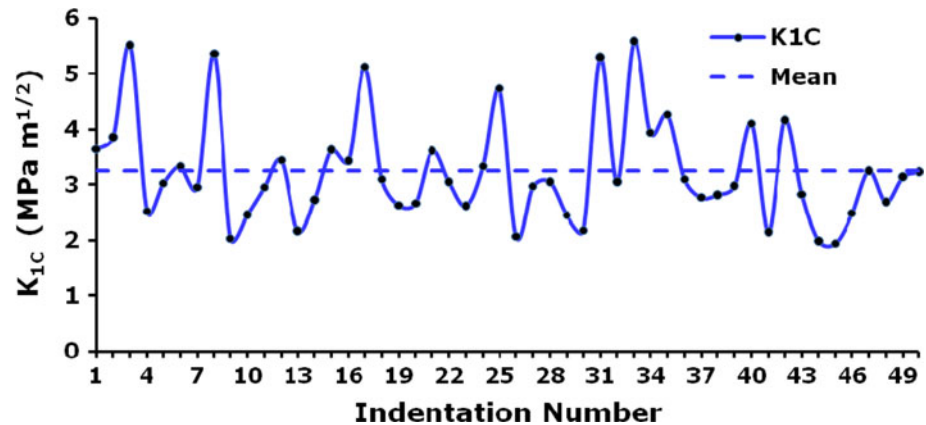


two test results was 4.31% and less when considering a larger pool of data. From this it can be gathered that macro-hardness indentation test may be more stable at higher indentation loads than lower particularly with hard brittle materials such as the  $\text{Si}_3\text{N}_4$ . The overall average  $K_{1c}$  using a 30-kg load was found to be  $8.70 \text{ MPa m}^{1/2}$  for the  $\text{Si}_3\text{N}_4$  as presented in Fig. 8 also showing the highest value being  $12.87 \text{ MPa m}^{1/2}$  and the lowest being  $5.34 \text{ MPa m}^{1/2}$ .

The result found for hardness herein when employing a 30-kg indentation load matches with the values provided by the manufacturer and proves that the method used for

the hardness calculation and measurement of the crack lengths was valid. Despite that, the values for the hardness are much smaller than the values provided in the manufacturer's specification when using a 5-kg load. This was due to the fact that the indentation load was much smaller and produced smaller foot-prints of the diamond which exerted lower force to the surface and reduced the end value of the  $K_{1c}$ . The average  $K_{1c}$  was found to be  $1.71 \text{ MPa m}^{1/2}$  for the  $\text{Si}_3\text{N}_4$  as presented in Fig. 9 also showing the highest value being  $3.06 \text{ MPa m}^{1/2}$  and the lowest being  $0.55 \text{ MPa m}^{1/2}$ .

**Fig. 9**  $K_{Ic}$  of the as-received surfaces of the  $Si_3N_4$  engineering ceramics from applying a 5-kg indentation load



The hardness can become much higher if the surfaces were ground and polished prior to the Vickers indentation test as previously stated. This would minimize the surface micro-cracks and result in obtaining a better consistency in achieving the hardness value and the resulting crack lengths but surfaces could not be ground and polished in this case as the initial studies showed that grinding and polishing resulted to the  $Si_3N_4$  ceramic becoming smooth and shiny which in turn has the tendency to produced high laser beam reflection. Furthermore, grinding and polishing could not be conducted after the fibre laser treatment as it removed the newly formed layer and would also induce some degree of residual stress into the ceramic as stated by Sun Li et al. [15] which would not do justice to the fibre laser-radiated surface during the Vickers indentation test, hence, all surfaces were tested as-received for the indentation study prior to and after the fibre laser treatment.

#### Fibre laser-treated surfaces

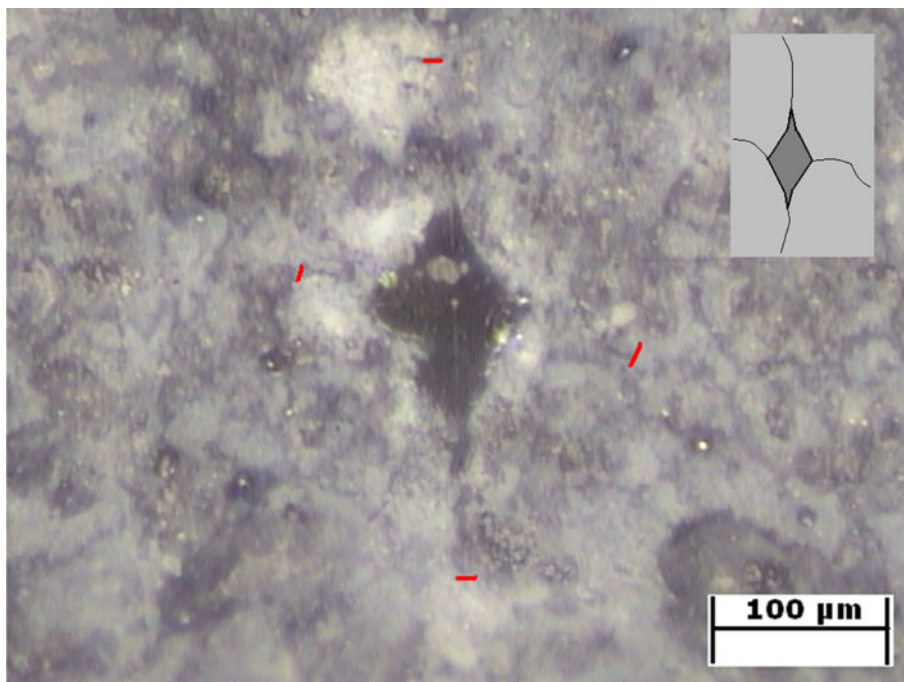
The mean hardness found was 11.32 GPa on the fibre laser-treated  $Si_3N_4$ . The highest value above the mean was 14.21 GPa and the lowest being 7.73 GPa. There was a 4% difference between the average hardness values obtained from the fibre laser treatment in comparison with the average hardness values obtained by the as-received surface (see “As-received surfaces” section). The fibre laser treatment with an effective increase in hardness. In general, an increase in the hardness of a material indicates that the surface has become more brittle and, therefore, prone to cracking and fracture. This would manifest as longer crack lengths on the corners of the diamond indentations. This, however, did not occur as the average crack lengths on the fibre laser-treated surface were much reduced in comparison to the crack length of the as-received surface, 242  $\mu m$  compared to 387  $\mu m$ , respectively (see example in Fig. 10). The fibre laser-treated surfaces also comprise much smaller cracks in comparison with the as-received surface as is evident in Fig. 11.

The increase in the hardness and decrease in the crack lengths following the fibre laser surface treatment can be by the event of strain hardening taking place as result of the fibre laser- $Si_3N_4$  surface interaction. The effect of strain hardening through movement of dislocations at elevated temperatures could induce compression into the surface and sub-surface of the ceramic and through the effect of transformation hardening.

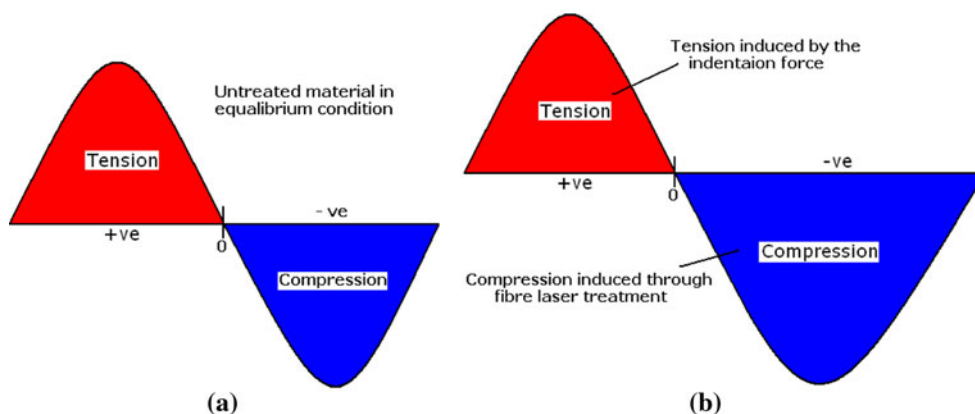
If one considers the heat generated from irradiation by the fibre laser beam is likely to have caused the  $Si_3N_4$  to transform from the  $\alpha$  to  $\beta$  state at 1600 °C, as stated by Jiang et al. [61]. This in turn would have caused the observed increase in the hardness of the  $Si_3N_4$  to 35.31 GPa. Since, the temperature during the fibre laser processing has been found to be much higher than 1600 °C [62], phase transformation of  $\alpha$ - $\beta$  phase will inherently occur after the fibre laser surface treatment of the  $Si_3N_4$ .

An investigation by Moon et al. [63] found that the fracture toughness of  $Al_2O_3$  and  $Si_3N_4$  ceramics was improved considerably by generating dislocations within the ceramics by plastic deformation (shot blasting) and then annealing to temperatures of 1500 °C. Study by Shukla and Lawrence [62] showed that the fibre laser surface processing of the  $Si_3N_4$  occurred above 2000 °C and could have led to an increase in the hardness as the movement of dislocations at elevated temperatures will have induced residual stress into the ceramic in the form of compression. At the same time the  $Si_3N_4$  would have decomposed up to 1900 °C and above, with a material removal of over 100  $\mu m$  at temperature up to 2000 °C and above. Owing to the fibre laser-induced compression (see Fig. 11), the tension would have needed to overcome the compression in order to produce a fracture. Therefore, the cracking of the  $Si_3N_4$  was much smaller in comparison to the as-received surface. This meant that the tension induced by the 5-kg load to produce the crack on the fibre laser-treated surface was much smaller than the induced compression. This rational goes some way to explaining the reason why smaller crack lengths have been found on

**Fig. 10** Fibre laser-treated surface of the  $\text{Si}_3\text{N}_4$  engineering ceramic indented by a 5-kg load, laser power = 150 W,  $100 \text{ mm min}^{-1}$ , 3 mm post size, (hardness = 8.83 GPa, crack length =  $248 \mu\text{m}$ ,  $K_{1c} = 3.59 \text{ MPa m}^{1/2}$ )



**Fig. 11** Diagram of the tension and compression concept where **a** is the state of the ceramic under equilibrium condition and **b** showing the increase in induced compression from the fibre laser treatment



the fibre laser-treated  $\text{Si}_3\text{N}_4$  surface in comparison with the as-received surface.

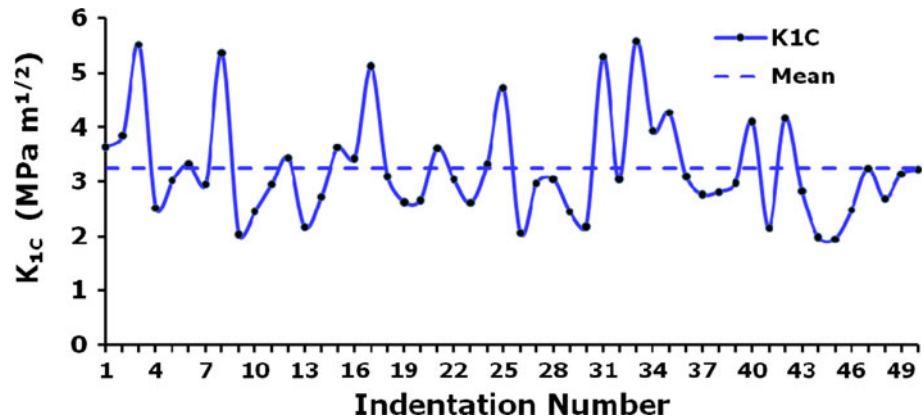
Residual stress is generally found by using the X-ray diffraction (XRD) method on the  $\text{Si}_3\text{N}_4$  ceramics [64]. However, in this case, the fibre laser-treated surface of the  $\text{Si}_3\text{N}_4$  ceramic becomes amorphous after decomposition of the  $\text{Si}_3\text{N}_4$  at  $1900^\circ\text{C}$ . Although X-rays diffract from the amorphous layer, there are yet no sharp diffraction peaks that can be found and an amorphous hump with a broad profile is found whose position can be determined by the average intermolecular spacing but this is not ideal for residual stress measurement, and therefore, compressive residual stress was only predicted based on the increase in hardness and decrease in the crack length of the ceramic after the fibre laser treatment in this study.

The average  $K_{1c}$  value for the  $\text{Si}_3\text{N}_4$  after the fibre laser treatment was  $3.25 \text{ MPa m}^{1/2}$ . The highest  $K_{1c}$  value

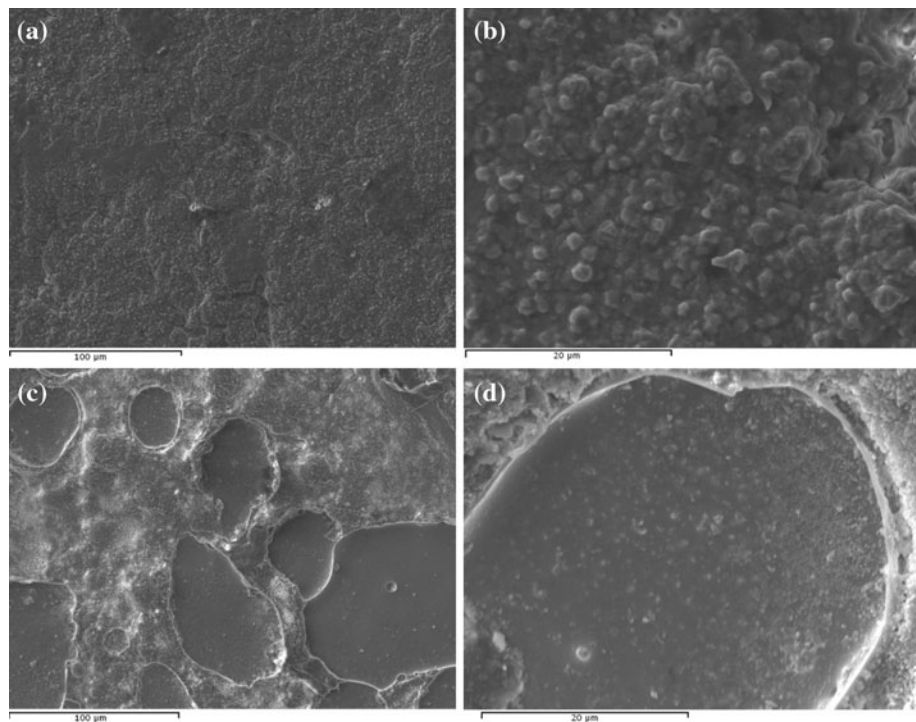
obtained above the mean was  $6.03 \text{ MPa m}^{1/2}$ . The lowest value below the mean for was  $2.20 \text{ MPa m}^{1/2}$  for the  $\text{Si}_3\text{N}_4$  as presented in Fig. 12 and Table 4. The  $K_{1c}$  values for the fibre laser-treated  $\text{Si}_3\text{N}_4$  were enhanced by 47% in comparison with that of the as-received surfaces. The values in Fig. 12 mainly fluctuate due 37% reduction in the crack lengths found for the fibre laser treated. In those areas where the  $K_{1c}$  is high, indicate that the localized near surface layer has more resistance to crack propagation under cyclic loads or during the onset of any tensile stresses.

Indentation fracture toughness method is heavily dependent on the local micro-structural changes and its influence. Hence, the micro-structures of the fibre laser-radiated and as-received surfaces of the  $\text{Si}_3\text{N}_4$  ceramic are presented in Fig. 13a–d. The grains can be seen in Fig. 13b and range between 8 and  $15 \mu\text{m}$ . Surface flaws,

**Fig. 12**  $K_{1c}$  of the fibre laser-treated surfaces of the  $\text{Si}_3\text{N}_4$  engineering ceramic from applying 5-kg indentation load



**Fig. 13** SEM image of the microstructure of the as-received surface of the  $\text{Si}_3\text{N}_4$  engineering ceramic presented in **a** at times 500 and **b** at times 3 k resolution as well as the surface morphology and the micro-structure of the fibre laser-treated surface of the  $\text{Si}_3\text{N}_4$  engineering ceramic at times 500 and **b** at times 3 k resolution



cavity and micro-porosity as well as morphology are also present in the image. Figure 13c and d show the microstructure of the fibre laser-radiated  $\text{Si}_3\text{N}_4$  ceramic and is somewhat different to that of the as-received surface. It can be seen that considerable amount of material removal has occurred from the laser beam interaction after some degree of decomposition taking place. The removal of the surface was around 101  $\mu\text{m}$  by using compressed air assist gas. This has produced a degree of oxide layer from the exposure to the atmosphere and would have also changed the composition of the laser-treated area of the  $\text{Si}_3\text{N}_4$  as further discussed. The surface morphology also shows a reduction in the porosity and the surface flaws that are apparent particularly in Fig. 13a. This may have caused the increase in hardness with reduced crack length which in turn increases the  $K_{1c}$ .

Consideration should also be given to the processing conditions of the ceramic as this will vary the depth of indentation and the relative cracking geometry underneath the diamond indenter depending on the sintering conditions of the ceramic and the relative density. In this case the  $\text{Si}_3\text{N}_4$  was not sintered and, therefore, was not fully dense (3.15  $\text{g}/\text{cm}^3$ ) [59] compared to a fully sintered  $\text{Si}_3\text{N}_4$  (3.60–3.80  $\text{g}/\text{cm}^3$ ) [60]. The partially sintered or un-sintered  $\text{Si}_3\text{N}_4$  would be more porous in comparison to that of a fully sintered  $\text{Si}_3\text{N}_4$  and, therefore, would be low in strength which also means that the cracking geometry would be longer in comparison to the fully dense  $\text{Si}_3\text{N}_4$ . The depth of the indentation is dependent on the indentation load applied. At 30 kg the depth of the indentation was around 30.05  $\mu\text{m}$  on the laser-treated track. At 5 kg of load, the depth was 14.84  $\mu\text{m}$  on the laser-treated track.

**Table 6** Summary of the results illustrating an increase or decrease in the parameters used for calculating the  $K_{Ic}$  of the as-received and laser-treated  $\text{Si}_3\text{N}_4$  ceramics

Surface type	Surface hardness (GPa)			Surface crack length ( $\mu\text{m}$ )			Surface $K_{Ic}$ ( $\text{MPa m}^{1/2}$ )		
	Average	STVD		Average	STVD		Average	STVD	
As-received surface	10.85	1.97	–	387	85	–	1.71	0.59	–
Fibre laser-radiated surface	11.32	1.56	4% higher	242	40	37% lower	3.25	0.94	47% increase

The depth of the laser-treated track ranged from 100 to 120  $\mu\text{m}$  and in relation to the diamond indentation depth was much deeper. This meant that the diamond indentation was not fully penetrating through the fibre laser-radiated surface to make contact with the sub-surface where the condition of the sub-surface micro-structure would fully affect the cracking geometry of the fibre laser-radiated surface. The condition of the sub-surface would affect the crack geometry for the instance where the diamond indenter is penetrating through the modified surface layer.

Table 6 presents the results obtained by the as-received surface and the fibre laser-treated surface of the  $\text{Si}_3\text{N}_4$  engineering ceramic. Values for the fibre laser-treated surface were compared to the values of the as-received surface indented using a 5-kg load to determine the percentage rise and decrease. Vickers indentation method offers many advantages for calculating the  $K_{Ic}$ . Nevertheless, it has many flaws. Those are the results obtained from the hardness test heavily depending on the operator's ability to detect the crack lengths and its geometry. The ceramics ability to the indentation response and the surface conditions that were used during the indentation test as smoother surfaces would result to higher surface strength and influences the hardness value and the resulting crack lengths. The  $K_{Ic}$  results could be much more accurate if a consistent surface hardness value was obtained along with its crack geometry which could be found from employing other indentation techniques as well as various other methods by using other existing equations would also produce variation in the  $K_{Ic}$  value.

The  $K_{Ic}$  value would also be affected if the effect of Young's modulus is to be considered. The fibre laser radiation would increase the stress and strain ratio of the ceramic on one plane in comparison to the other planes, which may then produce anisotropy within the plane that is normal to the direction of the laser treatment as opposed to the remaining untreated material in other planes. The ceramic would also become anisotropic as the grain structure found from the SEM (see Fig. 13) images does not show any regularity in comparison to the one of the as-received surface which would indicate that the laser-treated surface may be anisotropic. As well as the changed composition and reduction in porosity, the change in the

Young's modulus would also occur due to an interlocking the micro-structural produced by the fibre laser radiation. The interlocked micro-structure will produce refinement in surface flaws and covering of the micro-cracks. This in turn will create a denser surface layer and affect the elastic property by increasing the stress resistance. If the Young's modulus is raised due to such effects then the end  $K_{Ic}$  value would also increase as the Young's modulus is an effective parameter into the  $K_{Ic}$  equation; so it is likely that the influence of the Young's modulus would be significant in calculating  $K_{Ic}$  values in this investigation.

## Conclusions

Various empirical equations were used to calculate the fracture toughness parameter ( $K_{Ic}$ ) of the as-received surfaces of the  $\text{Si}_3\text{N}_4$  engineering ceramic to investigate the most suitable equation. It was found that equation:  $K_{Ic} = 0.016 (E/Hv)^{1/2} (P/c^{3/2})$  by Anstis, Chantikul, Lawn & Marshall was the most relevant equation to use for the  $\text{Si}_3\text{N}_4$  ceramic. The changes in the hardness demonstrated that the hardness acted as an influential parameter in changing the surface  $K_{Ic}$  value. The average surface hardness of the as-received surface was found to be 10.85 GPa with the average crack length being 387  $\mu\text{m}$  using a 5-kg indentation load, which led to producing an average surface  $K_{Ic}$  value of 1.71  $\text{MPa m}^{1/2}$ . It was found that higher indentation loads produced bigger diamond foot-prints and generated higher crack lengths. However, the  $K_{Ic}$  values were also increased from applying higher load due to the indentation load also being an important function of the  $K_{Ic}$  equation when employing the Vickers indentation method for determining the ceramics  $K_{Ic}$ .

Comparison of the as-received surface with the fibre laser-treated surface showed improvement in the  $K_{Ic}$  value of the top (near) surface layer of the fibre laser-treated  $\text{Si}_3\text{N}_4$  engineering ceramic. The hardness was increased by 4%; however, the crack lengths were yet reduced by 37%. The average hardness found with the fibre laser-treated surface was 11.32 GPa along with the average crack length being 242  $\mu\text{m}$ . This resulted in boosting the  $K_{Ic}$  value to 3.25  $\text{MPa m}^{1/2}$ , which was 47% higher in comparison to

the as-received surface. This was due to the  $\text{Si}_3\text{N}_4$  surface radiated by the fibre laser had induced surface compression into the ceramic and produced an interlocking microstructure. Through this, a reduction in the crack lengths after the diamond indentation was also found. The small increase in the hardness after the fibre laser treatment is believed to have occurred due to the effect of strain hardening through movement of dislocations at elevated temperatures which would also induce compressive residual stress through increase in the dislocation movement. As well as the transformation hardening where the  $\text{Si}_3\text{N}_4$  ceramic had changed from  $\alpha$ - $\beta$  phase at 1600 °C and increase the hardness. On account of this, smaller cracks were produced during the Vickers diamond indentation as the induced tension by the indentation force would have to overcome the induced compression by the fibre laser surface treatment.

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